

Application Form

Please ensure ALL information is completed as indicated in this Application Form for the relevant investor type.
Only correctly completed Application Forms with originally certified supporting documents will be accepted.

BOXES OUTLINED PURPLE ARE COMPULSORY TO COMPLETE.

INVESTOR TYPE (please tick relevant customer type)

INDIVIDUAL / JOINT / SOLE TRADER

Complete Sections 1, 2, 3 & 7 of the Application Form

COMPANY

Complete Section 1, 2, 3, 4.1, 4.2, 6 & 7 of the Application Form

SMSF / TRUST (INDIVIDUAL TRUSTEES)

Complete Section 1, 2, 3, 5, 6 & 7 of the Application Form

SMSF / TRUST (CORPORATE TRUSTEE)

Complete Section 1, 2, 3, 4.1, 4.2, 5, 6 & 7 of the Application Form

ASSOCIATION

Complete Section 1, 2, 3, 4.1, 4.3 & 7 of the Application Form

PARTNERSHIPS

Complete Section 1, 2, 3, 4.1, 4.2 & 7 of the Application Form

1) APPLICATION AMOUNT

I/We apply for an Interest of \$ _____ in the Quanta _____ Trust

Source of funds for investment:

Eg: Accumulated savings from wages / salary / Investment income/earnings, Inheritance etc

2) DISTRIBUTION DETAILS

Bank name:

Account name:

BSB:

Account Number:

Is this the account from which your investment application funds are being sent?

Yes

No

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All information collected by Quanta is collected and handled in accordance with Quanta's Privacy Policy, a copy of which is available on our [website](#) or a copy can be obtained by calling the number above. **Australian Financial Services Licence No. 405462**

3) INDIVIDUALS / JOINT / ENTITY SIGNATORIES

(including Individual / Joint investors, Directors, Sole traders, Individual Trustees, Association Signatory, Partner)

Individual A

Individual/Joint/Sole Trader	Director	Individual Trustee	Association Office Bearer	Partner	
Mr	Mrs	Miss	Ms	Dr	Other:
First Name:		Middle Name(s):			
Surname:					
Known by any other name?					
Are you an Australian resident for taxation purposes?		Yes	No		
Sole Trader:					
Full trading / business name (if applicable):					
ABN:					
Date of Birth:		Occupation:			
Residential Address:					
Postal Address:					
Sole Trader:					
Principal place of business address:					
TFN:	TFN Exemption:	Phone (business hours):			
Email Address:					
Are you a Politically Exposed Person?		Yes	No		
(A politically exposed person is an individual, or close family member or close associate of an individual, who holds a prominent public position or function in an Australian Government body, a foreign government body, or an international organisation)					

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Individual B					
Individual/Joint/Sole Trader		Director	Individual Trustee	Association Office Bearer	Partner
Mr	Mrs	Miss	Ms	Dr	Other:
First Name:			Middle Name(s):		
Surname:					
Known by any other name?					
Are you an Australian resident for taxation purposes?			Yes	No	
Sole Trader:					
Full trading / business name (if applicable):					
ABN:					
Date of Birth:			Occupation:		
Residential Address:					
Postal Address:					
Sole Trader:					
Principal place of business address:					
TFN:		TFN Exemption:		Phone (business hours):	
Email Address:					
Are you a Politically Exposed Person?			Yes	No	
(A politically exposed person is an individual, or close family member or close associate of an individual, who holds a prominent public position or function in an Australian Government body, a foreign government body, or an international organisation)					

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Individual C					
Individual/Joint/Sole Trader		Director	Individual Trustee	Association Office Bearer	Partner
Mr	Mrs	Miss	Ms	Dr	Other:
First Name:			Middle Name(s):		
Surname:					
Known by any other name?					
Are you an Australian resident for taxation purposes?			Yes	No	
Sole Trader:					
Full trading / business name (if applicable):					
ABN:					
Date of Birth:			Occupation:		
Residential Address:					
Postal Address:					
Sole Trader:					
Principal place of business address:					
TFN:		TFN Exemption:		Phone (business hours):	
Email Address:					
Are you a Politically Exposed Person?			Yes	No	
(A politically exposed person is an individual, or close family member or close associate of an individual, who holds a prominent public position or function in an Australian Government body, a foreign government body, or an international organisation)					

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Individual D					
Individual/Joint/Sole Trader		Director	Individual Trustee	Association Office Bearer	Partner
Mr	Mrs	Miss	Ms	Dr	Other:
First Name:			Middle Name(s):		
Surname:					
Known by any other name?					
Are you an Australian resident for taxation purposes?			Yes	No	
Sole Trader:					
Full trading / business name (if applicable):					
ABN:					
Date of Birth:			Occupation:		
Residential Address:					
Postal Address:					
Sole Trader:					
Principal place of business address:					
TFN:		TFN Exemption:		Phone (business hours):	
Email Address:					
Are you a Politically Exposed Person?			Yes	No	
(A politically exposed person is an individual, or close family member or close associate of an individual, who holds a prominent public position or function in an Australian Government body, a foreign government body, or an international organisation)					

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4) COMPANY, ASSOCIATION, PARTNERSHIP, CORPORATE TRUSTEE

4.1 Entity Details

Full registered name:

Full trading / business name (if applicable):

Public	Proprietary	Inc Association	Uninc Association	Partnership	Trustee
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ACN (compulsory):	Established in Australia?	Yes	No
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ABN:	TFN:
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Registered Office Address:

Principal place of business address:

4.2 Proprietary Companies and Partnerships

Full name and residential address of all Pty Ltd Directors or Partners must be listed (NOT listed in Section 3)

Full name:

Residential Address:

Are you a Politically Exposed Person?	Yes	No
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Full name:

Residential Address:

Are you a Politically Exposed Person?	Yes	No
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Full name:

Residential Address:

Are you a Politically Exposed Person?	Yes	No
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If there are more than three Directors (not already listed in Section 3), please photocopy this page and complete.

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4.3 Associations

Position: Public Officer (only for Incorporated Associations)

Full name:

Residential Address:

If this person is the same as either Individual 1 or 2 as disclosed in Section 3, simply note that here.

Position:

Full name:

Residential Address:

If this person is the same as either Individual 1 or 2 as disclosed in Section 3, simply note that here.

Position:

Full name:

Residential Address:

If this person is the same as either Individual 1 or 2 as disclosed in Section 3, simply note that here.

Position:

Full name:

Residential Address:

If this person is the same as either Individual 1 or 2 as disclosed in Section 3, simply note that here.

Position: Unincorporated Association member

Full name:

Residential Address:

If this person is the same as either Individual 1 or 2 as disclosed in Section 3, simply note that here.

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5) SELF MANAGED SUPER FUND, TRUST

5.1 Entity Details

Full name:

Full business name (if different from full name):

SMSF

Disc Trust

Fixed Trust

Other:

Established in Australia?

Yes

No

SFN:

ABN:

TFN:

5.2 Trustee Details

(Those individuals NOT detailed in Section 3)

Individual Trustee Full name:

Residential Address:

Politically Exposed Person?

Yes

No

Individual Trustee Full name:

Residential Address:

Politically Exposed Person?

Yes

No

Individual Trustee Full name:

Residential Address:

Politically Exposed Person?

Yes

No

Individual Trustee Full name:

Residential Address:

Politically Exposed Person?

Yes

No

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5.3 Settlor Details

(Not required where the material asset contribution to the trust by the settlor at the time the trust was established less than \$10,000, or the settlor is deceased, or the trust is verified using simplified trustee verification procedures)

Full name:

Residential Address:

Politically Exposed Person?

Yes

No

5.4 Class Details

(Where beneficiaries are identified by reference to a class or Beneficiary details where individually named)

Beneficiary class details:

OR

Beneficiary full name:

Residential Address:

Beneficiary full name:

Residential Address:

Beneficiary full name:

Residential Address:

If there are more than three named Beneficiaries, please photocopy this page and complete.

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6) BENEFICIAL OWNER

A beneficial owner of an investor is defined as an individual (a natural person or persons) who ultimately owns or controls (directly or indirectly) the investor.

Ownership for the purposes of determining a beneficial owner means owning 25% or more of the investor.

The definition of 'control' includes whether the control is exerted by means of trusts, agreements, arrangements, understandings or practices and whether or not the individual has control based on legal or equitable rights. It includes where an individual can exercise control through making decisions about financial and operating policies.

Full name:

Date of Birth:

Residential Address:

Politically Exposed Person?

Yes

No

OR same as individual A

Full name:

Date of Birth:

Residential Address:

Politically Exposed Person?

Yes

No

OR same as individual B

Full name:

Date of Birth:

Residential Address:

Politically Exposed Person?

Yes

No

OR same as individual C

Full name:

Date of Birth:

Residential Address:

Politically Exposed Person?

Yes

No

OR same as individual D

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7) DECLARATION AND SIGNATURES

By submitting this Application Form and depositing your funds into the Application Trust Account you declare and agree that:

- a) You have read and understood the Information Memorandum in full to which this Application Form relates and that you will be bound by the provisions of the Information Memorandum;
- b) You will be bound by the Trust Deed of the Trust;
- c) You have made an offer to become an Investor in the trust and that offer can't be revoked;
- d) You have had the opportunity to seek independent professional advice regarding the legal, taxation and financial implications of investing in the Trust;
- e) You have not relied on any statements or representations made by the Manager, Trustee or anyone associated with the Manager or Trustee prior to applying other than those representations made in the Information Memorandum;
- f) If signed under Power of Attorney, the Attorney declares that he or she has not received notice of revocation of that power;
- g) The tax information provided is accurate;
- h) You will provide all documentation reasonably requested by, or on behalf of, the Trustee for Anti-Money Laundering and Counter-Terrorism Financing Act 2006 (Cth) (AML/CTF Act) investor identification purposes or otherwise to comply with the requirements of the AML/CTF Act;
- i) You are a Wholesale Client as defined in section 761G or 761GA of the Corporations Act 2001 (Cth).

You are aware there are criminal penalties if you knowingly commit an offence under the AML/CTF Act.

Quanta and our related companies (we, us or our) may collect, use and disclose personal information about you. We collect personal information directly from you and may also collect personal information passively through our website. Our website www.quantainvest.com.au uses cookies and similar tracking technologies to track the activity on our Service and hold certain information.

We collect your personal information to facilitate our internal business purposes, for marketing and promotional purposes, to provide services to you, to evaluate our services, and to comply with legal and regulatory requirements. If the personal information you provide is incomplete or inaccurate, we may not be able to provide you with the services you seek.

We may disclose personal information about you to our related entities and affiliated organisations and service providers who assist us in operating our business (for instance third party service providers). These providers may be based overseas or use overseas infrastructure to perform services for us, including in Europe, Asia, Africa and Americas.

Our Privacy Policy (available on our website or on request) sets out how you can access and ask for correction of your personal information, how you can complain about privacy-related matters and how we respond to complaints.

Individual A

Name:

Signature:

Date:

Individual B

Name:

Signature:

Date:

Individual C

Name:

Signature:

Date:

Individual D

Name:

Signature:

Date:

If signatory is different to individual(s) as disclosed in Section 3, please attach instrument confirming powers to act.

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IDENTIFICATION GUIDE

Investor Type	CERTIFIED* Copies of Original Documentation Required **
Individual / Sole trader	<ul style="list-style-type: none"> • Current Australian Drivers Licence; OR • Current Australian Passport. • ABN (if issued to the Sole Trader)
Domestic Company	<ul style="list-style-type: none"> • The online ASIC database search listing company officers and shareholders (not more than 6 months old) – it does not need to be certified if it has been generated electronically. <p>AND</p> <ul style="list-style-type: none"> • Identification as required for an “Individual” for each individual listed in Section 3 of the application, account signatories (if these are different to the individuals listed in Section 3) and Beneficial Owners.
Trust	<ul style="list-style-type: none"> • The Trust Deed <p>AND</p> <ul style="list-style-type: none"> • Identification as required for: <ul style="list-style-type: none"> - Individual Trustee(s) (refer to “Individual”); or - Corporate Trustee (refer to Domestic Company)
Partnership	<ul style="list-style-type: none"> • The Partnership Agreement • The most recent minutes of a Partnership meeting, or other appropriately executed document confirming who can sign on behalf of the partnership. • The regulated status of the Partnership (if regulated). <p>AND</p> <ul style="list-style-type: none"> • Identification as required for an “Individual” for each individual listed in Section 3 of the application, account signatories (if these are different to the individuals listed in Section 3) and Beneficial Owners.
Association	<ul style="list-style-type: none"> • The Constitution or Rules of the Association; and • The most recent minutes of an Association meeting or other appropriately executed document confirming who can sign on behalf of the Association; and • The regulated status of the Association as issued by ASIC. <p>AND</p> <ul style="list-style-type: none"> • Identification as required for an “Individual” for each individual listed in Section 3 of the application, account signatories (if these are different to the individuals listed in Section 3) and Beneficial Owners.

*Quanta does not accept self-certified documents or documents certified by parties with a direct interest in the entity.

**Additional documentation may be required in some circumstances. We reserve the right to vary these requirements at any time.

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